



Alcohol use disorders

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Alcohol use disorders consist of disorders characterised by compulsive heavy alcohol use and loss of control over alcohol intake. Alcohol use disorders are some of the most prevalent mental disorders globally, especially in high-income and upper-middle-income countries; and are associated with high mortality and burden of disease, mainly due to medical consequences, such as liver cirrhosis or injury. Despite their high prevalence, alcohol use disorders are undertreated partly because of the high stigma associated with them, but also because of insufficient systematic screening in primary health care, although effective and cost-effective psychosocial and pharmacological interventions do exist. Primary health care should be responsible for most treatment, with routine screening for alcohol use, and the provision of a staggered treatment response, from brief advice to pharmacological treatment. Clinical interventions for these disorders should be embedded in a supportive environment, which can be bolstered by the creation of alcohol control policies aimed at reducing the overall level of consumption.

Introduction

Alcohol use disorders rank among the most prevalent mental disorders globally, predominantly affecting men.^{1,2} Individuals with such disorders have impaired control over their alcohol consumption and chronically exhibit a heavy and often escalating pattern of alcohol use despite serious detrimental costs to their overall health, the lives of their family members and friends, and to society in general (hereafter referred to as compulsive use). Despite their important public health consequences, alcohol use disorders remain some of the most undertreated mental disorders.

In this Seminar, we provide a comprehensive review of the epidemiology, diagnosis, and treatment of alcohol use disorders. We focus on developments from the past 5 years, which were not covered in the previous *Lancet* Seminars on this topic.^{3,4} Future research directions are also discussed.

Diagnosis

Alcohol use disorders are characterised by loss of control over alcohol intake, compulsive alcohol use, and a negative emotional state when not drinking, which can follow a chronic, relapsing course. Alcohol use disorders are defined by the Diagnostic and Statistical Manual of Mental Disorders (DSM) and the International Classification of Disease (ICD; appendix pp 4–6) by operational criteria: continued alcohol use despite negative psychological, biological, behavioural, and social consequences, of which a minimum number must be met during the same 12-month period to qualify for the diagnosis (appendix pp 4–6).

If more than one criterion is met, alcohol use disorder is diagnosed under the DSM-5, with severity being measured by the number of criteria met.⁵ In the ICD-11, these disorders are either diagnosed as “alcohol dependence” or a “harmful pattern of use of alcohol”, where dependence is the more severe manifestation.^{6,7} The widening gap between diagnostic systems (ie, between DSM-5 and ICD-11) is problematic, and especially relates to the differences between the diagnoses of a “harmful pattern of use of alcohol” in ICD-11, and any DSM criteria. This

widening gap does not help health professionals in designing interventions for alcohol use disorders.^{8–10}

Because we share some of the reservations about the various definitions of alcohol use disorders, and in particular about DSM-5,^{11–14} we will use the term alcohol use disorder in this Seminar to denote a pattern of compulsive heavy alcohol use and a loss of control over alcohol intake, which can for instance be seen when use is continued despite adverse consequences and despite the availability of other rewarding activities. This definition coincides with a moderate to severe alcohol use disorder in the DSM-5,¹⁴ or with alcohol dependence in the ICD-11. This more informal definition also seems to correspond with clinical practice, where formal diagnosis is the exception, and to the core set of criteria of past definitions since establishing the alcohol dependence syndrome.¹⁵

The definition and measurement of alcohol use disorders with criteria based on a set of psychological, biological, behavioural, and social consequences of alcohol use, where only some have to be fulfilled, attempts to capture the complexity of this disorder. In 1960, Jellinek¹⁶ reported different manifestations of alcohol use disorders in different cultures, with only two elements in common: heavy alcohol use (either chronic or intermittent) and negative health or social consequences. Definitions and conceptualisations from the past decade have again focused on heavy drinking as the core of the disorders.^{17,18}

Search strategy and selection criteria

A systematic literature search (appendix pp 2–3) was done for each of the subject areas (eg, epidemiology), with search terms optimised for each area. The search included publications in the English language, published between Jan 1, 2015, and Dec 31, 2018. The databases Web of Science, Embase, MEDLINE, and PsycINFO were searched by subject headings, wildcards, and truncation. Additionally, the Cochrane Library and reference lists of relevant manuscripts were searched. Highly cited manuscripts were given higher preference for inclusion.

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See Online for appendix

Conceptualising alcohol use disorders as heavy drinking over time would be in line with the biological changes in the brain caused by alcohol use, which are partly reversible after abstinence.¹⁹ This notion would also be in line with a shifting measurement of effectiveness for pharmaceutical interventions for alcohol use disorder, which focuses on drinking status and reductions in drinking levels that have been linked to a decrease in mortality.^{20–24} Clinically, reduction of alcohol consumption is a vital goal of any treatment for alcohol use disorders, because it has been shown to reduce subsequent disease and mortality. If such reductions lead to abstinence, the largest effect on mortality is achieved.²⁴

Measurement of alcohol use is usually done by self-report, but in the clinical context, biomarkers associated with heavy drinking should be used. Although the latest generation of biomarkers, such as phosphatidylethanol, certainly outperform older ones in terms of specificity, sensitivity, and quantifying consumption over time,^{25,26} the question of costs remains, especially in non-specialised settings. Various practical and ethical questions need to be answered to avoid patient perceptions of constant control and monitoring; these questions need to be discussed between clinician and patient before use.²⁷

Epidemiology

Alcohol use disorders are among the most prevalent mental disorders globally, affecting 8.6% (95% CI 8.1–9.1) of men and 1.7% (1.6–1.9) of women in 2016 (total point estimate 5.1%; 4.9–5.4).¹² Although the prevalence of alcohol use disorder in men is still five times that in women, globally some signs exist of the gender gap narrowing over time.¹ Furthermore, the prevalence of alcohol use disorders was highest in high-income countries (8.4%, 95% CI 8.0–8.9) and upper-middle-income countries (5.4%, 5.0–6.0), for both sexes.¹ We provide an overview of the prevalence of alcohol use

disorders among adults (aged 15 years and older) by country (figure 1).¹ Since several of the aforementioned criteria are culturally specific,^{12,13} these prevalence figures, which are based on general population surveys, should be considered rough estimates.²⁸

Alcohol use disorders are associated with a high burden of disease.⁴ They cause considerable disability²⁹ and are also associated with high mortality through medical conditions such as liver cirrhosis or injury.³⁰ This excess mortality is not found in burden-of-disease reports, in which alcohol poisoning is the main cause of death listed under alcohol use disorders,² but only in special analyses (eg, Charlson and colleagues³¹). Register analyses in Nordic countries have shown that excess mortality associated with these disorders can lead to a reduction in life expectancy of more than 20 years from the population average.³²

The risk of alcohol use disorders and related mortality follows a socioeconomic gradient, with individuals with low socioeconomic status being at increased risk.^{33,34} Moreover, individuals in this group are at least twice as likely to die from their disorders and prolonged heavy alcohol use than their counterparts with high socioeconomic status.³⁵ The risk ratio between low versus high socioeconomic status for alcohol-attributable causes of death is higher than the risk ratio for all causes, indicating an interaction between alcohol use and socioeconomic status³⁵ (for a striking example in a middle-income country, see Probst and colleagues³⁶). Overall, alcohol use and alcohol use disorders seem to contribute to socioeconomic health inequities with greater harm per litre of alcohol consumed in individuals with low socioeconomic status than in those with high socioeconomic status.

Genetics and other risk factors

Patients, their families, and society in general should be aware that alcohol use disorders are not a result of any

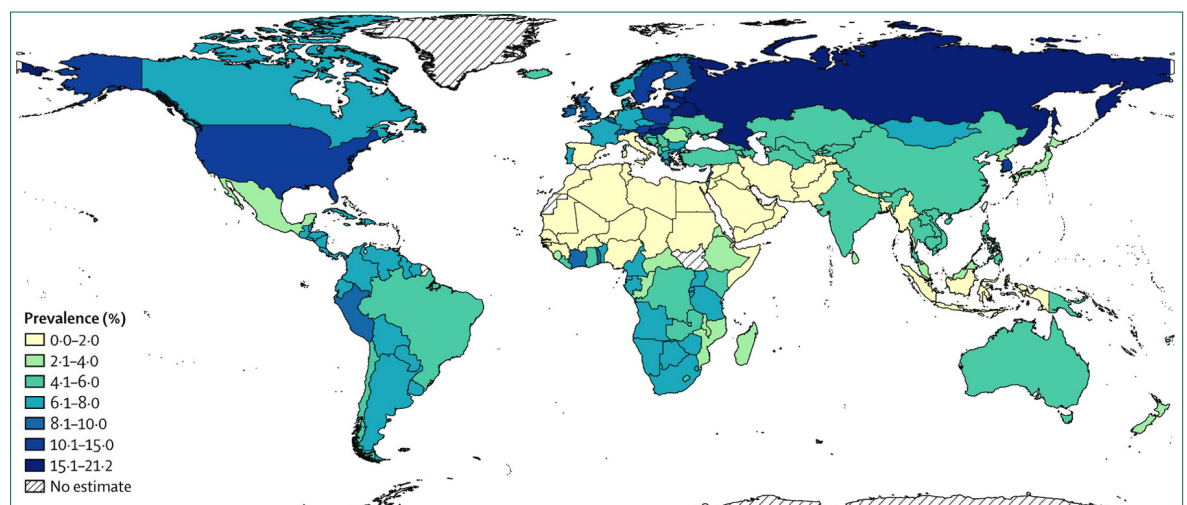


Figure 1: Prevalence of alcohol use disorders in 2016

individual weakness or moral failing, but arise from a complex interaction of individual, social, cultural, and biological factors. Family and twin studies were the first to show the role of genetics in these disorders.³⁷ An Australian twin study found a heritability estimate of 64%.³⁸ Twin and adoption studies from the past 35 years have reported that heritability estimates range from 40% to 70%, with no sex difference; a meta-analysis provided evidence that about 50% of alcohol use disorders are heritable,³⁹ which might be an underestimate resulting from measurement bias and other methodological issues. Even though genetic factors have a major role in the development of alcohol use disorders, concordance rates of less than 50% indicate that environmental risk factors and gene-environment interactions must also contribute to the emergence and persistence of these illnesses.

Individual alleles that mediate risk have been difficult to identify. Alcohol dehydrogenase (*ADH*) and the mitochondrial form of aldehyde dehydrogenase (*ALDH2*) are liver enzymes involved in alcohol metabolism. The *ALDH2* gene has two primary alleles known as *ALDH2*1* and *ALDH2*2*. Carriers of the *ALDH2*2* allele, and homozygotes in particular, have impaired alcohol metabolism. If they drink alcohol, acetaldehyde accumulates, leading to the emergence of flushing, headache, sweating, tachycardia, nausea, and vomiting, all of which serve to protect against the development of an alcohol use disorder.⁴⁰ This polymorphism is carried by about 40% of East Asian individuals but is rare in European people. Additionally, polymorphisms in the *ADH* group of genes (eg, *ADH1B*2*) also protect against alcohol use disorders.⁴¹ In 2019, the largest genome-wide association meta-analysis of the Alcohol Use Disorders Identification Test (AUDIT) found ten risk loci associated with the AUDIT total score.⁴² This effort replicated previous findings of loci related to both pharmacokinetic (eg, *ADH1B* and *ADH1C*) and pharmacodynamic (eg, *KLB* encoding beta-klotho and *GCKR* encoding glucokinase regulatory protein)^{43–45} factors that determine alcohol consumption.

The first gene-by-environment genome-wide interaction study showed that the rs1729578 polymorphism in the *PRKG1* gene, which encodes cGMP-dependent protein kinase 1, moderated the influence of traumatic life experiences on alcohol misuse in two independent cohorts.^{46,47} Additionally, epigenetic mechanisms, including histone modifications and DNA methylation, have been increasingly implicated in the pathophysiology of alcohol use disorders and might mediate the effect of known environmental risk factors for it, such as stress, on the emergence and persistence of such disorders.^{48,49}

Known personality risk factors for might be, at least in part, genetically sensitive. For example, a 2018 twin study⁵⁰ showed that impulsivity is a genetic risk factor for alcohol use disorders. Further, polygenic risk scores in genome-wide association results DSM-IV alcohol

dependence scores predicted problematic alcohol use during adolescence, and this effect was partly mediated by the personality trait of sensation seeking.⁵¹

Several environmental risk factors might contribute to the emergence and perpetuation of alcohol use disorders. For example, the prevalence tends to be higher in cultural groups that adopt a more permissive attitude towards heavy drinking and alcohol intoxication. In such cultures, alcohol is usually readily available at low cost and alcohol intoxication is socially approved of and encouraged through advertisements.^{52–54} Also, expectations of alcohol effects might also have a role in the patterns of alcohol use. For instance, expectations of the positive effects of excessive drinking on social interactions, the alleviation of anxiety, and improved sexual performance seem associated with heavier drinking.⁵⁵ Other risk factors include poor family support, conduct and mood disorders, and low self-control.^{50,55–57} The perceived pattern of drinking among peers might also have a role in the development of alcohol use disorders, especially during adolescence.⁵⁸

Parental factors such as low parental monitoring, parental drinking, and favourable parental attitudes towards alcohol use are risk factors for the disorders.^{50,55,56} A 2018 prospective cohort study showed that parental alcohol supply was associated with adolescent drinking, alcohol-related harms, and symptoms of alcohol use disorder.⁵⁹ Other factors that might affect the likelihood of developing such a disorder include the availability of financial resources to buy alcohol, level of education, and religious beliefs and practices.^{53,54}

Alcohol has prominent effects on γ -aminobutyric acid (GABA)-ergic and glutamatergic transmission, mainly through facilitation of GABA-A receptor signalling and inhibition of N-methyl-D-aspartate (NMDA) receptor signalling. These mechanisms underlie a global suppression of nervous system excitability that acutely results from alcohol intake, and rebounds during withdrawal. Additional important alcohol effects are produced through interactions with dopamine, opioid, and cannabinoid transmission.^{60,61} Extensive individual, age-dependent, and sex-dependent, variation in the effects of alcohol exists.⁶² At a systems level, alcohol's effects on the brain result in a biphasic effect profile that encompasses an initial psychomotor component, and a subsequent sedative-ataxic component. The contribution of the respective component varies between individuals and over time; a higher than normal stimulation and lower than normal sedation are predictors of progression to alcohol use disorder.⁶³

A framework encompassing the various stages of alcohol use disorders from a neurobiological perspective proposes that specific neurocircuitry is altered by the effects of alcohol and stress on the brain.^{60,64,65} According to this model, which synthesises preclinical and clinical findings, three distinct phases encompass the alcohol addiction cycle: (1) binge or intoxication; (2) withdrawal

or negative affect; and (3) preoccupation or craving. Each of these phases entails neuroadaptive changes in specific brain networks, which might progress over the course of the disorder.⁶⁵

The rewarding effects of alcohol, the development of incentive salience, and the seeking habits in the binge or intoxication phase entail changes in the amounts of dopamine and opioid peptides in the basal ganglia. The emergence of dysphoric and stressful states that characterise the withdrawal or negative affect stage (also referred to as the dark side of alcohol use disorders⁶⁹) might mean a decrease in dopaminergic function in the reward system and a recruitment of brain stress neurotransmitters in the extended amygdala. The cravings and deficits that affect executive function might promote a reduction in self-control, and in the preoccupation or craving phase might lead to a progressive dysregulation of descending projections from the medial prefrontal cortex and insula to the basal ganglia and extended amygdala.

Glutamate might have a major role in the preoccupation or craving phase.^{60,65} Other neurotransmitters and brain circuits might also be implicated in the pathophysiology of alcohol use disorders as discussed in greater detail elsewhere.^{60,66} An important aspect of clinical disease with important therapeutic implications is that as the condition develops, a shift from positively to negatively reinforced alcohol use occurs.⁶⁷ This model has provided clinically important insights into the neurobiology of alcohol use disorders, but some caveats deserve consideration. First, it does not take into account the recovery phase. Additionally, evidence indicates that structural and functional brain changes might reverse after abstinence, and that a substantial proportion of people recover with no or minimal treatment. Therefore, alternative models have been proposed.⁶⁸

Biological mechanisms could also help to explain differences in both the prevalence and presentation of alcohol use disorders in men and women.⁶⁹ For example, a PET study⁶² of social drinkers showed that the magnitude of ventrostriatal dopamine release after oral alcohol administration was higher in men than in women, and that dopamine release correlated with measures of subjective activation in men but not in women. This mechanism could contribute to sex-related differences in vulnerability for alcohol use disorders.

Clinical presentation and treatment use

Alcohol use disorders are among the disorders with the lowest treatment prevalence. In a large study of representative samples of more than 13 000 patients and 358 general practitioners in regions of six European countries, only 22·3% of patients identified with alcohol dependence received interventions.⁷⁰ The low treatment prevalence seen in these European countries is not common in other regions.⁷¹ In fact, the global treatment prevalence in the most recent overview was almost the same, at 21·9%,⁷² and treatment prevalence in some

countries such as the USA was even lower.^{73,74} These numbers suggest that the treatment gap for alcohol use disorders is higher than for any other mental disorder,⁷² even though effective and cost-effective treatments exist,⁷⁵ with similar effect sizes as for other common diseases.⁷⁶ Factors related to (1) the patient, (2) the clinician, and (3) the health system have roles in the low treatment prevalence.

As treatment is sought very late in the disease process, compared with patients who do not receive treatment, individuals who do receive treatment can be characterised by higher levels of social disintegration, alcohol use, comorbidity, and functional losses.^{77,70} Additionally, fear of stigmatisation has been associated with decreased treatment access.⁷⁸ The stigma attached to alcohol use disorders consists of aspects such as dangerousness, which might be associated with observed behaviour such as higher levels of aggression under the influence of alcohol and harm inflicted to others. However, the stigma also encompasses aspects that are not supported by empirical evidence such as perceiving people with the disorder as weak willed and responsible for their illness. Comparative studies over time have shown that whereas the stigmatisation of mental disorders has generally improved, this has not been the case for alcohol use disorders.⁷⁹ Stigmatisation is not just a barrier to seek treatment for the patient; it also affects if and how clinicians treat patients.

High levels of stigmatisation towards patients with an alcohol use disorder have been recorded among health professionals.⁸⁰ Furthermore, inadequate education, training, and support structures exist for clinicians dealing with patients with an alcohol use disorder. These factors connect to more structural treatment barriers related to the health system.

The first contact with the health-care system for most people with alcohol use disorders is usually with the primary health-care (PHC) system. However, no systematic screening for alcohol problems or disorders exists in PHC in most countries,³ even though valid screening instruments are available (eg, AUDIT or AUDIT-C, the short form of the AUDIT comprising only the three consumption items).⁸¹ Even in countries with guidelines for such screening,⁸² only a few patients are screened. In a study in five European jurisdictions, including two with the highest screening rates (Catalonia and England), the proportion of eligible adult patients who were screened for potential problem drinking was 5·9% (95% CI 3·4–8·4) during the 4-week baseline measurement period.⁸³ This proportion could be increased even if screening is applied only on the basis of comorbidities such as hypertension, insomnia, or injury.^{84–86}

The aforementioned large study in six European countries showed that PHC providers rely on the level of alcohol use and other tell-tale indicators, such as the smell of alcohol on a patient's breath, the presence of red eyes, and findings of raised liver enzymes or other

comorbidities, rather than on official criteria.⁷⁰ On one hand, this approach led to a situation in the European study in which only 30.3% (95% CI 27.1–33.7) of patients with an alcohol use disorder identified by standardised instruments were also identified as such by their general practitioners.⁷⁰ On the other hand, standardised instruments identified only 39.9% (95% CI 36.0–43.9) of patients with alcohol dependence identified by their general practitioners (own calculations based on Rehm and colleagues⁷⁰).

Thus, patients with alcohol use disorders are fairly prevalent in PHC settings and, although almost no formal screening for alcohol use is done, general practitioners recognise a substantial proportion of them, yet only a few receive treatment. Reasons for this treatment gap seem to be threefold. First, a series of individual-level factors, including fear of stigma, preclude those affected from seeking treatment; second, clinicians are not well trained to identify alcohol use disorder and can hold stigmatising views towards patients with this problem; and third, without a formal screening process, many patients in PHC are not recognised and treated or connected to specialised care even though effective treatments exist.

Interventions

Before we consider the treatment system and acute and long-term management, we present currently available psychosocial and pharmacological interventions. In their seminal work on the comparative effectiveness of treatments, Miller and Wilbourne⁸⁷ showed that psychosocial treatments such as brief counselling, motivational enhancement therapy, the community

reinforcement approach, guided self-change, behaviour contracting, and social skills training were among the top ten most effective interventions for alcohol use disorders, together with some pharmacological interventions. Not much has changed since their overview, and the evidence clearly shows that specific, well defined psychosocial therapies are more effective than others, including unstructured therapist-patient interactions. However, to date the successful therapies have not been fully broken down to identify the key effective elements.^{88,89} A comprehensive overview of psychological and psychosocial interventions can be found elsewhere.⁸²

Here we discuss novel approaches, such as internet-based and internet-supported interventions.^{90,91} These approaches strive to incorporate knowledge about neurocognitive and pathophysiological processes into treatments for alcohol use disorders.^{92,93} One such mechanism is the enhanced emotional and behavioural reactivity to alcohol cues in patients that might contribute to enhanced craving and relapse. Evidence is emerging that cognitive bias modification training can change the biased cognitive processing of alcohol cues by pairing alcohol cues with an avoidance reaction. The most comprehensive review to date showed that cognitive bias modification interventions seemed to have a small effect on cognitive bias (0.23, 95% credible interval 0.06 to 0.41) and relapse rate (−0.27, −0.68 to 0.22), but not on the reduction of substance use.⁹⁴ However, although this area seems promising for providing new methods to be integrated into the treatment of alcohol use disorders, when added to other psychosocial or pharmaceutical interventions,⁹⁵ current evidence is not conclusive and needs to be strengthened with more rigorous randomised trials.^{94,96,97}

	Mechanism of action	Dosage	Adverse effects	Observations
Acamprosate	Glutamate system modulator but mechanism unclear	FDA-approved dosage: 1998 mg/day orally; dosage used in clinical trials: 1000–3000 mg/day	Diarrhoea, pruritus, rash, and altered libido	Does not undergo first-pass metabolism; can be used in patients with liver disease
Disulfiram	Aldehyde dehydrogenase inhibitor	FDA-approved dosage: 250–500 mg/day orally; dosage used in clinical trials: 125–500 mg/day	Drowsiness, metallic taste, hepatotoxicity, neuropathy, psychosis, confusional states, optic neuritis, psychosis, and mood changes	Disulfiram–ethanol interaction might constitute an emergency, hence, disulfiram can be used to sustain abstinence but not to reduce drinking
Nalmefene	Antagonistic at μ -opioid and δ -opioid receptors and partly agonistic at κ -opioid receptors	Not approved by FDA but approved by EMA; to be used on an as-needed basis: 18 mg/day orally on days of increased risk of drinking, preferably before consumption	Dizziness, headache, insomnia, nausea, and vomiting	Nalmefene can block the effects of opioid analgesics and can precipitate opioid withdrawal
Naltrexone (intramuscular injection)	μ -preferring opioid antagonist that reduces opioid-mediated reward to alcohol	Monthly 380 mg intragluteal injections	Serious adverse effects are rare; common adverse events include rash, headache, restlessness, insomnia, nausea, vomiting, abdominal pain, and joint or muscle pain; potential injection site reactions and other adverse events due to injections	Naltrexone can block the effects of opioid analgesics and can precipitate opioid withdrawal
Naltrexone (oral)	μ -preferring opioid antagonist that reduces opioid-mediated reward to alcohol	FDA-approved dosage: 50 mg/day; dosage used in clinical trials: 50–100 mg/day	Serious adverse effects are rare; common adverse events include rash, headache, restlessness, insomnia, nausea, vomiting, abdominal pain, and joint or muscle pain	Naltrexone can block the effects of opioid analgesics and can precipitate opioid withdrawal

FDA=Food and Drug Administration. EMA=European Medicines Agency.

Table 1: FDA-approved or EMA-approved pharmacological treatments

	Original indication	Mechanism of action	Clinical implications
Baclofen	Spasticity	Agonist of GABA-B receptors	Particularly used for high severity dependence; meta-analyses based mostly on small studies have shown divergent results, but efficacy was robustly replicated in an adequately powered multicentre randomized controlled trial; because it is a direct (orthosteric) agonist at GABA-B receptors, baclofen results in tolerance and a need for dose escalation, in turn associated with a potential for serious adverse events
Gabapentin	Epilepsy or neuropathic pain	Complex molecular mechanisms of action; one major effect is inhibition of $\alpha 2\delta$ -subunit-containing voltage-dependent calcium channels	Gabapentin promoted abstinence and decreased relapse to heavy drinking; it also decreased alcohol-related insomnia, dysphoria, and craving; effects were dose-dependent and most pronounced at the dose of 1800 mg/day
Ondansetron	Nausea and vomiting	5HT ₃ receptor antagonism	Potential for use in early-onset alcohol use disorder; prescriber should consider pharmacogenetics markers in serotonergic genes
Sodium oxybate	Narcolepsy	Unknown mechanism; a metabolite of GABA; interacts with GABA-B receptors, but unknown whether this mediates therapeutic actions in alcohol use disorder	Sodium oxybate was safe and effective in severe alcohol dependence; it has a high abuse liability, and use should be reserved for specialist treatment settings under a Risk Evaluation and Mitigation Strategy
Topiramate	Epilepsy	Complex molecular mechanisms of action; glutamatergic actions are likely to be key in alcohol use disorder treatment; Effectiveness is moderated by a polymorphism at the locus encoding the glutamatergic kainate receptor subunit GRIK1 (also known as GluK1).	Limited to specialist treatment because it needs to be carefully titrated over an extended period of time, and is initially associated with cognitive side-effects, including impairments of working memory
Varenicline	Smoking cessation	Partial agonist of the $\alpha 4\beta 2$ isoform of the nicotinic acetyl choline receptor	Highest effectiveness seen with phosphatidylethanol as outcome, which is a biomarker for short and intermediate heavy alcohol intake; medication should start immediately after detoxification

See the appendix (pp 8–9) for reference details. GABA= γ -aminobutyric acid.

Table 2: Wave 2 medications for the treatment of alcohol use disorders

Medications are available for the treatment of alcohol use disorders in both primary and specialised care. The most common drugs (referred to here as Wave 1; table 1) were discussed extensively in the previous *Lancet* seminar on alcohol use disorders³ and in guidelines elsewhere.^{74,82,98} In brief, these drugs include disulfiram, a dehydrogenase inhibitor, the opioid antagonist naltrexone (either in the form of tablets or as a depot formulation), nalmefene, and the homo-aurine analogue acamprosate. All these treatments have meta-analytic support for effectiveness, and have different indications. Disulfiram should be used only under supervision, for instance when sobriety must be ensured for a short time to diagnose psychiatric comorbidity in a valid manner. Naltrexone and nalmefene mainly prevent relapse to heavy drinking, and thus could also be used in therapy with the goal of controlling drinking. Acamprosate promotes abstinence in people with severe alcohol use disorders. Although hoping for novel therapeutics to improve on effectiveness of these approved treatments, we note that their effect sizes are similar to those of many common medical interventions⁷⁶—for instance, the number needed to treat for naltrexone to prevent return to heavy drinking has been estimated at 12.⁹⁹ Accordingly, sizable clinical benefits could be achieved by improving on the low prescription rates of existing drugs for alcohol use disorder.

Meta-analytic support for efficacy has also been reported for several medications that do not have marketing approval for the treatment of alcohol use disorders, but are approved for other indications and can therefore be prescribed off-label—ie, Wave 2 (table 2). When doing so, prescribers need to be aware of the

potential for side-effects that might be specific to patients with alcohol use disorder, and monitor for those.

In this group, perhaps the strongest support is available for topiramate. Although its effectiveness is robust, topiramate is likely to remain a specialist treatment, because of the complexity involved in managing its delivery and side-effects (table 2).¹⁰⁰

Mechanisms explored in experimental settings in both animal and human laboratory studies might bring additional aids to the treatment kit in the future (Wave 3). Blockade of neurokinin 1 (NK-1) receptors has consistently proved to reduce alcohol self-administration and relapse to alcohol-seeking behaviour in rodents, and suppressed stress-induced alcohol craving in patients with alcohol-dependency.^{101,102} Because of variable results with NK-1 antagonists in depression trials, these programmes have been discontinued by the pharmaceutical industry, but analyses have shown that effectiveness is consistently achieved if near-complete receptor occupancy is reached.¹⁰³

The combined progesterone and glucocorticoid receptor antagonist mifepristone has shown an ability to reduce alcohol intake in alcohol-dependent rats but not in non-dependent animals. In a small laboratory study in men, individuals with alcohol dependence who received short-term (1-week) treatment with mifepristone reported reduced craving triggered by alcohol-associated cues, and reduced their alcohol consumption during treatment and at 1-week follow-up.¹⁰⁴

The stomach-derived, appetite-regulating hormone ghrelin appears to be involved in promoting alcohol craving. A ghrelin antagonist is being assessed in heavy-drinking and alcohol-dependent volunteers, and

has so far proved safe and well tolerated.^{105,106} Finally, κ -opioid receptors appear to mediate dysphoric states in addiction,¹⁰⁷ and preclinical studies suggest that their blockade could be beneficial in alcohol dependence.¹⁰⁸ Assessment of medications in this class has been initiated (in 2019; NCT03852628). Clinical development always faces challenging odds, but bringing forward mechanistically innovative therapeutics is an important part of addressing the unmet needs of patients with alcohol use disorder.

Whether approved for the indication of alcohol use disorders or available for off-label use, several medications reviewed here have solid support for modest, but clearly clinically useful effectiveness. Nevertheless, these drugs are prescribed only to a small fraction of patients with alcohol use disorders, estimated to be 0·07% overall and 5·8% for those seeking specialty treatment.¹⁰⁹ Although research into novel medications remains a high priority, implementing currently available treatments offers the greatest opportunity to improve outcomes in the short term.

Acute and long-term management

We provide an overview of the worst possible course of alcohol use disorders (figure 2) to illustrate the various options of interventions and where they are situated in the health-care system. Despite the importance of the health-care system, it should not be forgotten that an important proportion of alcohol use disorders improve without formal intervention.¹¹⁰

PHC is not only the entry point for most people with alcohol use disorders into the health-care system, but it is also the place where secondary prevention and most clinical interventions should take place.^{84,111} This practice requires regular checks for alcohol use, similar to routine blood pressure checks,¹¹² which could be accomplished by any PHC staff member, via biomarkers, or self-administered tests.⁹⁰ On the basis of the level of alcohol use and of the presence of comorbidities, interventions should start with brief advice to reduce hazardous drinking, which could be done by non-medical health-care professionals, or via the internet.^{90,91} At higher levels of drinking, treatment interventions should begin with lifestyle interventions aimed at stopping or reducing the patient's drinking. If this approach proves to be unsuccessful, specific psychological and pharmacological interventions⁸⁴ should be considered.

Several pharmacological treatment options are suitable for PHC.⁹⁹ These options might include detoxification (see guidelines from the National Institute for Health and Clinical Excellence⁸² and Rolland and colleagues¹¹³). Non-pharmacological treatment options, such as psychological treatment, are effective,^{87,114} but implementing them in PHC remains difficult, since in many countries not enough trained personnel are available and most general practitioners are not familiar with administering structured psychological interventions. This option, of

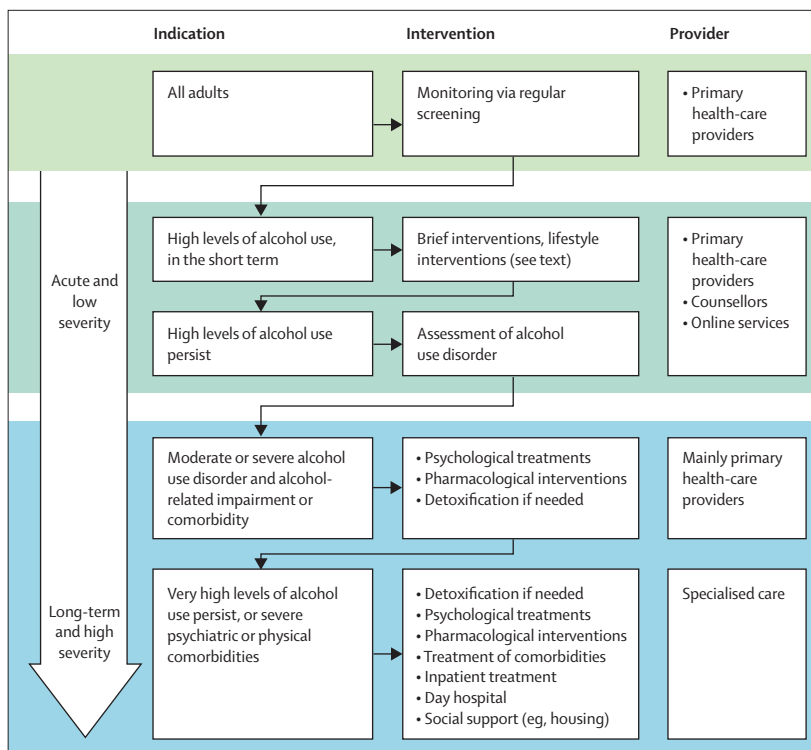


Figure 2: Indications for monitoring and interventions in the health-care system

providing these interventions in PHC settings, could therefore be restricted to selected high-income countries with the necessary infrastructure. Use of the internet might be an option even outside of high-income countries, in addition to other measures taken by the general practitioner.^{90,91} Although attempting to achieve synergistic effects of psychological and pharmacological interventions is ideal, inability to provide the psychological support should not be taken as an excuse to neglect the provision of pharmacological intervention.

The key to the success of secondary prevention and treatment will be regular monitoring of a patient's level of alcohol use. As indicated previously, this monitoring can be achieved with a high level of specificity with the use of modern biomarkers such as phosphatidylethanol.^{25,26} If the level of alcohol use continues to be high or if there are comorbidities that cannot be handled at the PHC level, referral to specialists should be considered.

The specialist care system for alcohol use disorders is usually accessed via referral from PHC, but dependent on the country, direct or other access for patients might be available. Other possible points of access could be acute hospital and emergency room settings. However, systematic screening for alcohol use disorders in such places is also low,¹¹⁵ despite the fact that people with alcohol problems frequent acute care hospitals¹¹⁶ and emergency rooms overproportionately.^{116,117} In addition to these pathways, in many jurisdictions people with

Panel: Hazardous alcohol use and alcohol use disorders in Colombia

Heavy drinking is a public health problem in Colombia, particularly among minors (people younger than 18 years). Even though adult per-head consumption (at around 6 L per year) is less than there than in the USA or many European countries,¹ a high concentration of weekend heavy alcohol users exists, and reports show that Colombia shares, with Argentina, first place for the number of underage heavy alcohol users.^{127–130} Prevalence of alcohol use disorders is also higher than the global average, at 7% in 2016.¹

Before 2012, people with alcohol use disorders in Colombia had only two options: to attend Alcoholic Anonymous groups, or to look for private care (eg, psychiatric, toxicological, or psychological), private care being virtually impossible for people of low socioeconomic status. But in 2012, a new law (Law #1566) was approved, bringing fundamental changes to the treatment of individuals with substance use disorders:

- 1 Substance use and substance use disorders were dealt with as a matter of public health; this was a major shift from the traditional criminal view applied to these problems before; a similar change has been seen in several Latin American countries in the past decade (such as Argentina, Brazil, Chile, Mexico, Peru, and Uruguay); in Colombia, currently, courts or other legal institutions cannot mandate treatment for alcohol use disorders
- 2 The law states that any person with problems related to psychoactive substances, legal or illegal, has the right to ask for state-of-the-art and free-of-charge treatment under the public system of health, either in private or public institutions

With almost 500 000 drug users in need of treatment and at least a similar number of individuals with alcohol use disorders,¹²⁹ the law was initially received with enthusiasm. However, two drawbacks quickly became apparent with implementation: first, the law took 5 years to put into place since the private treatment institutions refused to accept new patients without a formal guarantee of reimbursement; and second, according to directors of treatment institutions, most people with alcohol use disorders refused to be in treatment together with drug users, since they felt their problem was completely different in nature. The change is happening very slowly, and in the main cities (Bogotá, Medellín, and Cali), facilities for only alcohol use disorders have been opened where people can be treated as inpatients for up to 90 days. However, as of May, 2019, these facilities remained almost empty.

alcohol use disorders might be referred to treatment by the legal or social welfare system or by employers' programmes. Overall, treatment can be characterised by a high degree of formal or informal social pressure.¹¹⁸

In most cases, the aim of specialised care interventions is to manage a situation of low consumption or abstinence after detoxification or lifestyle changes, to prevent relapse to a pattern of lasting heavy consumption. Guidelines exist for treatment at the specialist level, with or without pharmacological support.^{82,98} The specialist treatment system usually treats more severe patients, often with comorbidities.^{77,119} Comorbidities are sometimes treated in parallel in integrated care pathways,¹²⁰ even though the systematic evidence for these treatments might not yet be available.^{121,122}

Another barrier to the treatment of alcohol use disorders is that universal health-care coverage has not yet been globally implemented despite such a call from the UN,¹²³ and despite it making economic sense.¹²⁴ Treatment for mental disorders in general, and for alcohol use disorders in particular, is often not covered

by health insurance, and thus pressure is increasing on governments to improve the current situation.^{125,126}

The Lancet Commission on global mental health and sustainable development¹²⁵ proposed, as their first of six key actions, that “mental health services should be scaled up as an essential component of universal health coverage and should be fully integrated into the global response to other health priorities, including non-communicable diseases, maternal and child health, and HIV/AIDS.” For the treatment of alcohol use disorders, this recommendation would mean a radical step to close the treatment gap we describe. However, this possibility is still a long way off. Colombia (panel) might provide some useful illustrations of potential difficulties, even in a situation where the legal right for the treatment of alcohol use disorders was established 7 years ago.

The role of the wider environment and alcohol policy

Thus, alcohol use disorders and their associated heavy drinking are clearly major public health problems, which could be reduced by treatment.¹³¹ However, as indicated in the section on risk factors, the wider environment has an important role in the cause and course of alcohol use disorders. For instance, on the basis of experience in the treatment of other mental disorders such as depression, a reduction in the stigma associated with alcohol use disorders would probably result in an increased number of individuals seeking treatment.⁷⁸ A supportive environment in the community might also be important, and is currently being explored in a large-scale implementation trial in three countries in the Americas: Colombia, Peru, and Mexico.¹³²

Moreover, the overall permissiveness of cultures is important, either via informal control, such as in the classic Mediterranean cultures, where alcohol is restricted to meals and showing signs of intoxication is met with disapproval,¹³³ or by formal control such as restrictions on availability and a ban on marketing.^{18,134} Another effective way to reduce alcohol consumption and alcohol-attributable harm is to increase prices via taxation.¹³⁵ All these policy measures are based on the association between overall level of consumption and the prevalence of alcohol use disorders (Spearman correlation at 0.69; 95% CI 0.60–0.76; based on the WHO *Global Status Report on Alcohol and Health 2018*⁸ and Manthey and colleagues¹³⁶).

Establishing a minimum unit price for alcoholic beverages is another mechanism to increase price, mainly to reduce binge drinking. This measure has been implemented in several Eastern European countries,¹³⁷ in Scotland, and in some provinces of Canada, with promising results.¹³⁸ The formal alcohol control policies of restricting availability, banning marketing, and increasing taxation have also proved highly cost-effective compared with other measures to reduce alcohol-attributable

harm,¹³⁹ even measures to reduce burden of non-communicable diseases.¹⁴⁰

Contributors

All authors have written a first draft of parts of this Seminar and its revision, have contributed to several iterations of the text, and have approved of the final revised version.

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AFC, AP, and CP declare no competing interests. MH reports personal fees from BrainsWay Technologies, Indivior, and Aelis Farma, and other income from Pfizer and Adial Pharmaceuticals, outside the submitted work. JR reports grants from Lundbeck and from D&A Pharma, outside the submitted work.

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